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# Completeness and The Number of Types For Infinitary Logic (New developments of independence notions in model theory)

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# Completeness and The Number of Types For Infinitary Logic

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## Abstract

In Infinitary Logic, the topological space  $S(L_F, T)$  is not compact. Morley showed  $S(L_F, T)$  is analytic where  $L_F$  is countable[2]. In this paper I show that  $S(L_F, T)$  is completely metrizable where  $L_F$  is countable.

## 1 Introduction

In Infinitary Logic, compactness fail. For example,  $\{x \neq c_i\}_{i < \omega} \cup \{\bigvee_{i < \omega} (x = c_i)\}$  is finitely satisfiable but inconsistent. By this fact, we can't get saturated models and arbitrary large models in general. How do we construct a large model? The model existence theorem is a way to construct some models(see [1]). In this paper, I show a basic property of infinitary logic. In many cases you can use this property instead of the model existence theorem. In section 2, I define  $L_F$  a fragment of  $L_{\kappa, \omega}$ . This definition has little deferences from some text books[1], but you can easily understand that it is sufficiently general. In section 3, I translate  $L_F$  to  $L(\tau)$ , a language with first order logic. We'll see that any class of models of a theory of  $L_F$  is equivalent to a subclass of an elementary class which language is  $F(\tau)$ . This subclass is characterized by a set of types. In section 4, I show that if language is countable then  $S(L_F, T)$  is completely metrizable.

## 2 Preliminaries

First I define  $L_{\kappa, \omega}$  and a fragment of  $L_{\kappa, \omega}$ .

**Definition 1** Suppose  $L$  is the set of all (first order)  $L$ -formulas.

1. Let  $\{p_i, f_j, c_k\}$  be a set of new symbols. Then We'll write  $L(\{p_i, f_j, c_k\})$  as the set of all (first order) formulas of the expanded language which is added  $\{p_i, f_j, c_k\}$  to the language  $L$ .

2.  $L_{\kappa,\omega}$  is the smallest set of formulas such that
  - (a)  $L \subset L_{\kappa,\omega}$ .
  - (b)  $L_{\kappa,\omega}$  is closed under finite boolean combination and finite quantification.
  - (c) If  $\Phi(\bar{x}) \in L_{\kappa,\omega}$  ( $|\Phi| < \kappa$ ,  $|\bar{x}| < \omega$ ), then  $\bigwedge \Phi(\bar{x}) \in L_{\kappa,\omega}$ .
3. We say  $L_F$  is a fragment of  $L_{\kappa,\omega}$  iff  $L \subset L_F \subset L_{\kappa,\omega}$  and it is closed under finite boolean combinations and finite quantifications, subformulas, and finitely exchanging of terms (i.e. if  $\phi(\bar{t}) \in L_F$ ,  $\bar{t}'$  is  $L$ -term, then  $\phi(\bar{t}') \in L_F$ ).

I note that every formula has only finitely many variables. (There may be infinitely many occurrences.) In the following, we fix a fragment  $L_F \subset L_{\kappa,\omega}$ .

### 3 Translation

#### 3.1 Translation of $L_F$ into $L(\tau)$ (first order logic)

I construct a first order language  $L(\tau)$  corresponding to  $L_F$ .

**Definition 2** We define  $\tau$  be a set of new predicate symbols  $P_\Phi$ .

1.  $\tau = \{P_\Phi(\bar{x}) \mid \bigwedge \Phi(\bar{x}) \in L_F\}$ .
2.  $\phi^* \in L(\tau)$  is defined in each  $\phi \in L_F$  as follows.
  - (a) If  $\phi \in L$  then  $\phi^* = \phi$ .
  - (b) If  $\phi$  is  $\phi_1 \wedge \phi_2$  ( $\neg\phi_1$ ,  $\exists x\phi_1$ ), then  $\phi^*$  is defined by  $\phi_1^* \wedge \phi_2^*$  ( $\neg\phi_1^*$ ,  $\exists x\phi_1^*$ ).
  - (c) If  $\phi = \bigwedge \Phi(\bar{x})$ , then  $\phi^* = P_\Phi(\bar{x})$ .

**Remark 3** The map  $*$  :  $L_F \rightarrow L(\tau)$  is injective but not surjective. For example, suppose  $\bigwedge \Phi(y)$  be a  $L_F$ -formula and  $t(x)$  be a  $L$ -term. Let  $\Phi'(x) = \Phi(t(x))$ . There is a predicate symbol  $P_\Phi(y)$  and we can take a  $L(\tau)$ -formula  $P_\Phi(t(x))$ . But  $(\bigwedge \Phi'(x))^*$  is just  $P_{\Phi'}(x)$ . So there is no  $L_F$ -formula  $\psi$  such that  $\psi^* = P_\Phi(t(x))$ .

Next I define suitable subclass of  $L(\tau)$ -structure STR. Each member of STR omits a set of  $L(\tau)$ -types  $\Gamma$  and interprets  $P_\Phi$  like  $\bigwedge \Phi$ . In section 3.2, we'll see that STR is "suitable".

**Definition 4** Suppose  $M$  is a  $L$ -structure.

1.  $M^*$  is a  $L(\tau)$ -structure expanded  $M$  such that  $M^* \models P_\Phi(\bar{a})$  if and only if  $M \models \bigwedge \Phi(\bar{a})$  for all  $P_\Phi \in L(\tau)$ .
2.  $\Gamma = \{q(\bar{y}) \mid q = \{\neg P_\Phi(\bar{y})\} \cup \Phi(\bar{y})^*, P_\Phi(\bar{y}) \in L(\tau)\}$ .
3.  $T_1 = \{\forall \bar{x}(P_\Phi(\bar{x}) \rightarrow \phi^*(\bar{x})) \mid P_\Phi \in L(\tau), \phi \in \Phi\}$

4.  $T_2 = \{\forall \bar{x}(P_\Phi(\bar{t}(\bar{x})) \leftrightarrow P_{\Phi'}(\bar{x})) | \bar{t} \text{ is } L\text{-term}, \bigwedge \Phi(\bar{y}), \bigwedge \Phi'(\bar{x}) \in L_F, \bigwedge \Phi'(\bar{x}) = \bigwedge \Phi(\bar{t}(\bar{x}))\}.$
5.  $\text{STR} = \{N | N \text{ is a } L(\tau)\text{-structure}, N \text{ omits } \Gamma, N \models T_1.\}$

Let  $*$  :  $M \mapsto M^*$  be the map in Definition 4. It is easy to show the map is a injection. Moreover, the image of the map is a subset of STR. Actually, if  $M \models \bigwedge \Phi(\bar{a})$ , then  $M \models \phi(\bar{a})$  for all  $\phi \in \Phi$ . This implies  $M^* \models T_1$ . On the other hand, if  $M \models \phi(\bar{a})$  for all  $\phi \in \Phi$ , then  $M \models \bigwedge \Phi(\bar{a})$ . this implies  $M^*$  omits  $\Gamma$ .

The next remark is important. This claims that  $*$  :  $L_F \rightarrow L(\tau)$  is not a bijection but it seems a bijection under  $T_2$ .

**Remark 5** For all  $L(\tau)$ -formula  $\phi(\bar{x})$ , there is a  $L_F$ -formula  $\psi(\bar{x})$  such that  $T_2 \models \forall \bar{x}(\phi(\bar{x}) \leftrightarrow \psi(\bar{x})^*)$ .

*Proof:* By induction on  $\phi(\bar{x})$ . If  $\phi(\bar{x}) = P_\Phi(\bar{t}(\bar{x}))$ , then we can take  $\psi(\bar{x}) = P_{\Phi'}(\bar{x})$  where  $\Phi'(\bar{x}) = \Phi(\bar{t}(\bar{x}))$ . By  $T_2$ ,  $\phi$  is equivalent to  $\psi$ . The other cases are straightforward. ■

### 3.2 Interpreting as a subclass of $L(\tau)$ -structures

**Proposition 6** Suppose  $N$  is a  $L$ -structure. If  $N$  is in STR, then  $N \upharpoonright_L \models \phi(\bar{a})$  if and only if  $N \models \phi^*(\bar{a})$  for all  $\phi \in L_F$ ,  $\bar{a} \in N$ .

*Proof:* By induction on  $\phi$ . Suppose  $\phi = \bigwedge \Phi(\bar{x})$ . Let  $N \upharpoonright_L \models \bigwedge \Phi(\bar{a})$ . By definition, this means  $N \upharpoonright_L \models \psi(\bar{a})$  for all  $\psi \in \Phi$ . By induction hypothesis,  $N \models \psi^*(\bar{a})$  for all  $\psi \in \Phi$ . Since  $N$  is in STR,  $N$  omits  $\Gamma$  (Definition 4). Then  $N \models P_\Phi(\bar{a})$ . Conversely, let  $N \models P_\Phi(\bar{a})$ . Because  $N$  is in STR,  $N \models T_1$ . So we get  $N \models \phi^*(\bar{a})$  for all  $\phi \in \Phi$ . By induction hypothesis,  $N \upharpoonright_L \models \phi(\bar{a})$  for all  $\phi \in \Phi$ . Therefor  $N \upharpoonright_L \models \bigwedge \Phi(\bar{a})$ . The other cases are straightforward. ■

**Corollary 7** Suppose  $\phi \in L_F$ ,  $\Sigma \subset L_F$ .

1.  $\text{In}(\ast) = \text{STR}, \ast^{-1} = \upharpoonright_L$ .
2.  $N \in \text{St} \Rightarrow N \models T_2$ .
3.  $\Sigma \models \phi \iff$  For all  $M \in \text{STR}$ , if  $M \models \Sigma^*$  then  $M \models \phi^*$ .

*Proof:* 2. We want to show that  $N \models P_{\Phi'}(\bar{a}) \leftrightarrow P_\Phi(\bar{t}(\bar{a}))$  for all  $\bar{a} \in N$ . Let  $N \models P_{\Phi'}(\bar{a})$ . Then  $N \upharpoonright_L \models \bigwedge \Phi'(\bar{a})$  by proposition 6. Take  $\bar{b} = \bar{t}(\bar{a}) \in N$ . Since  $\Phi'(\bar{a}) = \Phi(\bar{t}(\bar{a}))$ , we get  $N \upharpoonright_L \models \bigwedge \Phi(\bar{b})$ . Again by proposition 6,  $N \models P_\Phi(\bar{b})$ . This implies  $N \models P_\Phi(\bar{t}(\bar{a}))$ . The other direction is the same. ■

Proposition 6 and Corollary 7 say that you can consider the model theory of STR instead of the model theory of  $L_F$ .

## 4 Complete metric

### 4.1 $G_\delta$

**Definition 8** Suppose  $T$  is a set of  $L_F$ -sentences.

1.  $\Sigma(\bar{x}) \subset L_F$  is an  $n$ -type with respect to  $T$  if  $|\bar{x}| = n$  and there are a  $L$ -structure  $M$  and elements  $\bar{a} \in M$  such that  $M \models \phi(\bar{a})$  for all  $\phi(\bar{x}) \in \Sigma(\bar{x})$ .
2.  $S_n(L_F, T)$  is the set of all complete(in  $L_F$ )  $n$ -types w.r.t.  $T$ .

In model theory for first order logic, the space of types  $S_n(T)$  is compact. Morley showed  $S_n(L_F, T)$  is analytic where  $L_F$  is countable[2]. A topological space is analytic if it is a image of continuous function of a Borel set. In this section, I introduce  $G_\delta$  subsets. Clearly every  $G_\delta$  subset is a Borel set then it is analytic. We will see  $S_n(L_F, T)$  is a  $G_\delta$  subset of a stone space.

**Definition 9** Let  $S$  be a topological space, and  $A \subset S$ . Then  $A$  is called a  $G_\delta$  subset of  $S$  if there are countably many open sets  $O_i (i < \omega)$  such that  $A = \bigcap_{i < \omega} O_i$ .

The next fact is well known. For example, see [4].

**Fact 10** Let  $S$  be completely metrizable and  $A \subset S$ . Then  $A$  is  $G_\delta$  if and only if  $A$  is completely metrizable.

### 4.2 $S_n(L_F, T)$ is $G_\delta$

First, I claim that we can consider  $S_n(L_F, T)$  as a subset of  $S_n(T^*)$ .

**Lemma 11** If  $\Sigma(\bar{x}) \subset L_F$  is finitely satisfiable, then  $\Sigma^* \cup T_1 \cup T_2$  is also finitely satisfiable. If  $\Sigma(\bar{x}) \subset L_F$  is finitely satisfiable and complete in  $L_F$ , then  $\Sigma^* \cup T_1 \cup T_2$  has a unique completion in  $L(\tau)$ .

*Proof:* If  $M \models \phi(\bar{a})$ , then  $M^* \models \phi^*(\bar{a})$  by definition of  $*$ . Moreover,  $M^* \in \text{STR}$ . Then  $M \models \bigcup T_1 \cup T_2$  by Corollary 7. (Remark 5 implies the uniqueness of completion.) ■

Recall  $\Gamma$  is the set of  $L(\tau)$ -types(See Definition 4). If  $\Sigma(\bar{x}) \subset L_F$  is consistent, then there is a model  $M \models \Sigma(\bar{a})$ . Then  $M^*$  must be omits  $\Gamma$  and  $M^* \models \Sigma^*(\bar{a}) \cup T_1$ . Conversely, If there is a model  $N \models \Sigma^*(\bar{a}) \cup T_1$  which omits  $\Gamma$ , then  $N \upharpoonright_L \models \Sigma(\bar{a})$ . This fact implies that  $S_n(L_F, T)$  is a  $G_\delta$  subset of a stone space by Omitting Types Theorem. We'll see this in Proposition 12 and Theorem 14.

**Proposition 12** Suppose  $L_F$  is countable and  $p(\bar{x})$  is a set of  $L_F$ -formulas. Let  $p(\bar{x})$  be finitely satisfiable and complete in  $L_F$ . The following are equivalent.

1.  $p(\bar{x})$  is consistent.
2. For every  $q(\bar{x}, \bar{y}) \in \Gamma$ ,  $q$  is nonisolated w.r.t.  $p(\bar{x})^* \cup T_1 \cup T_2$ .

3. For every  $\bigwedge \Phi(\bar{x}, \bar{y}), \psi(\bar{x}, \bar{y}) \in L_F$ , either of (a) or (b) holds.

(a)  $\forall \bar{y}(\psi(\bar{x}, \bar{y}) \rightarrow \bigwedge \Phi(\bar{x}, \bar{y})) \in p(\bar{x})$

(b)  $\exists \bar{y}(\psi(\bar{x}, \bar{y}) \wedge \neg \phi(\bar{x}, \bar{y})) \in p(\bar{x})$  for some  $\phi \in \Phi$ .

*Proof:*

(2  $\rightarrow$  1)

By omitting types, we can take a model  $M \models p^*(\bar{a}) \cup T_2$  which omits  $\Gamma$ . Then  $M \upharpoonright_L \models p(\bar{a})$  by Proposition 6.

(1  $\rightarrow$  3)

Notice  $\{\psi \rightarrow \phi \mid \phi \in \Phi\} \models \psi \rightarrow \bigwedge \Phi$ . So, if (b) doesn't hold then (a) holds by the consistency and the completeness of  $p(\bar{x})$ .

(3  $\rightarrow$  2)

Since  $p(\bar{x})$  is complete,  $p^*(\bar{x}) \supset T_1$ . Because of Lemma 11, we can assume  $p^*(\bar{x}) \cup T_2$  is a complete consistent in  $L(\tau)$ . Let  $q(\bar{x}, \bar{y}) = \{\neg P_\Phi(\bar{x}, \bar{y})\} \cup \Phi^*(\bar{x}, \bar{y})$  be isolated w.r.t.  $p^*(\bar{x}) \cup T_2$ . Then we can find a  $L(\tau)$ -formula  $\psi'(\bar{x}, \bar{y})$  such that  $\psi'$  isolates  $q$ . By Remark 5, there is a  $L_F$ -formula  $\psi(\bar{x}, \bar{y})$  such that  $T_2 \models \psi^* \leftrightarrow \psi'$ . By 3., either  $\forall \bar{y}(\psi^*(\bar{x}, \bar{y}) \rightarrow P_\Phi(\bar{x}, \bar{y})) \in p^*(\bar{x})$  or  $\exists \bar{y}(\psi^*(\bar{x}, \bar{y}) \wedge \neg \phi^*(\bar{x}, \bar{y})) \in p^*(\bar{x})$  for some  $\phi^* \in \Phi^*$  holds. But  $\psi^*$  isolates  $q$ . Then  $p(\bar{x})^* \cup T_2$  must be inconsistent. ■

**Corollary 13** Suppose  $L_F$  and  $p(\bar{x})$  satisfy the assumption of Proposition 12. Let  $L_F$  satisfy following condition (a)(b).

(a)  $\psi, \bigwedge \Phi \in L_F \Rightarrow \bigwedge \{\psi \rightarrow \phi\}_{\phi \in \Phi} \in L_F$ .

(b)  $\bigwedge \Phi(x, \bar{y}) \in L_F \Rightarrow \bigwedge \{\forall x \phi(x, \bar{y})\}_{\phi \in \Phi} \in L_F$ .

Then TFAE.

1.  $p(\bar{x})$  is consistent.

2. For all  $\bigwedge \Phi(\bar{x}) \in L_F$ , if  $\neg \bigwedge \Phi(\bar{x}) \in p(\bar{x})$  then there is  $\phi \in \Phi$  such that  $\neg \phi(\bar{x}) \in p(\bar{x})$ . ■

**Theorem 14** Suppose  $T \subset L_F$ , and  $|L_F| \leq \omega$ .

Then  $S_n(L_F, T)$  is completely metrizable.

*Proof:* First I prove at  $T = \emptyset$ . Let  $D_n = \{p(\bar{x}) \mid p(\bar{x}) \text{ is complete (in } L_F) \text{ and finitely satisfiable, } |\bar{x}| \leq n.\}$  (I remark  $p$  may not be consistent). Then  $D_n$  will be a stone space and  $S_n(L_F, \emptyset)$  is a subset of  $D_n$ . Because  $D_n$  is a second countable stone space, it is completely metrizable. Let's show  $S_n(L_F, \emptyset)$  is a  $G_\delta$  subset of  $D_n$ . By Proposition 12, we can take

$$S_n(L_F, \emptyset) = \bigcap \bigwedge \Phi(\bar{x}, \bar{y}), \psi(\bar{x}, \bar{y}) \in L_F \cup_{\phi \in \Phi} O_{\forall \bar{y}(\psi \rightarrow \bigwedge \Phi) \vee \exists \bar{y}(\psi \wedge \neg \phi)}.$$

So  $S_n(L_F, \cdot)$  is completely metrizable by Fact 10. If  $T \neq \emptyset$ , we can take  $S_n(L_F, T) = S_n(L_F, \emptyset) \cap \bigcap_{\phi \in T} O_\phi$ . ■

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